



FINANCIAL TRACKING, LLC

FIRST TO KNOW™ SOLUTIONS

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NEWS RELEASE

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FOR IMMEDIATE RELEASE

Financial Tracking Reaches 1,000 Connections with BDs for Employee Trading Data

Now has most coverage of any company

Greenwich, CT November 10, 2009—Financial Tracking Technologies, LLC today announced it has software interfaces with over 1,000 broker dealers to receive employee trades and holdings data electronically. The company says that this milestone gives it the widest coverage of any employee personal trading software vendor.

“This milestone is another key differentiator from the others,” said Tony Turner, principal of the company. “Combined with being chosen by the SEC as its vendor of choice for employee personal trading software, this continues to set us apart all the while enhancing the employee experience,” he said. “Our IT group has worked in partnership with our clients and the BD clearing firms over the last two years to make this possible. Now dually registered companies and RIAs can enjoy significant economies of scale in compliance oversight and cost effectiveness. This accomplishment underscores our sensitivity to the industry’s ever growing regulatory scrutiny and need for immediate knowledge of compliance issues before it’s too late.” Turner said.

About Financial Tracking Technologies, LLC

Financial Tracking Technologies, LLC is the proven leader in reducing costs through automated compliance software with modular features. Its solutions enable positive assertions and proof to regulators, clients, fund officers and directors and risk groups that policies, procedures and internal controls exist and are operating effectively. Companies using its technologies, which include investment advisors, mutual funds, hedge funds and fund of funds, broker/dealers, private equity and public companies, no longer endure the arduous and daunting tasks of data gathering and analysis and are the “*First to Know™*” when compliance exceptions occur. Additional information can be found at www.financial-tracking.com

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